Agreement between the European Community and the Swiss Confederation on the Carriage of Goods and Passengers by Rail and Road - Final Act - Joint Declarations - Information relating to the entry into force of the seven Agreements with the Swiss Confederation in the sectors free movement of persons, air and land transport, public procurement, scientific and technological cooperation, mutual recognition in relation to conformity assessment, and trade in agricultural products

Official Journal L 114, 30/04/2002 P. 0091 - 0131

Dates:

of document: 21/06/1999 of effect: 01/06/2002; Entry into force See Art 58 And 0J L

114/2002 P. 480of signature: 21/06/1999; Luxembourgend of validity: 99/99/9999; See Art. 58.2

Authentic language: The official languages; German; English;

Danish; Spanish; Finnish; French; Greek; Italian; Dutch;

Portuguese; Swedish

Author:

European Community; Switzerland

Subject matter: External relations; Cooperation; Transport

Directory code: 11401010

EUROVOC descriptor: EC agreement; Switzerland; carriage of goods;

carriage of passengers; rail transport; road transport; marketaccess
Legal basis:
157A101-L2
Instruments cited:
392R0684
392R0881
294A0103 (45)
396D1692
Earlier related instruments:
202A0430(01)Relation
202A0430(02)Relation
202A0430(04)Relation
202A0430 (05)Relation
202A0430(06)Relation
202A0430(07)Relation
Amended by:
Adopted by 302D0309
Subsequent related instruments:
Relation 202A0430(01)
Relation 202A0430(02)
Relation 202A0430(04)
Relation 202A0430(05)

Relation	202A0430(06)
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Relation..... 202A0430(07).....

Agreement between the European Community and the Swiss Confederation on the

Carriage of Goods and Passengers by Rail and Road

THE SWISS CONFEDERATION, hereinafter referred to as "Switzerland", of the one part,

THE EUROPEAN COMMUNITY, hereinafter referred to as "the Community", of the other part, together hereinafter referred to as "the Contracting Parties",

AWARE of the mutual interest of the Contracting Parties in promoting cooperation and trade, in particular by granting each other access to the transport market, as provided for under Article 13 of the

Agreement between the European Economic Community and the Swiss

Confederation on the Carriage of Goods by Road and Rail of 2 May1992, hereinafter referred to as the 1992 Agreement,

DESIROUS of developing a coordinated transport policy aimed atencouraging the use of means of transporting passengers and goodsthat are more environmentally sound in a bid to combineenvironmental protection with transport systems efficiency, notably in the Alpine region,

DESIROUS of ensuring healthy competition between the various modes of transport and whereas these modes of transport should cover the costs they incur,

AWARE of the need to ensure consistency between Swiss transportpolicy and the general principles underlying the Community'stransport policy, particularly in the context of the implementation of a coordinated legislative and regulatory framework,

HAVE AGREED AS FOLLOWS:

TITLE I

GENERAL PROVISIONS

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Article 1

General principles and objectives1. This Agreement between the Community and Switzerland is aimed, onthe one hand, at liberalising access by the Contracting Parties toeach other's transport market for the carriage of passengers andgoods by road and rail in such a way as to ensure the more efficientmanagement of traffic using routes which, from a technical, geographical and economic viewpoint, are most suitable for all themodes of transport covered by the Agreement and, on the other, atlaying the basis for a coordinated transport policy.

- 2. The provisions of the Agreement and their application are basedon the principles of reciprocity and free choice of mode oftransport.
- 3. The Contracting Parties undertake not to take discriminatorymeasures when applying this Agreement.

Article 2

Article 2

Scope1. This Agreement shall apply to the two-way carriage of goods and passengers by road between the Contracting Parties, to throughtraffic crossing the territory of the Parties, without prejudice to the 1992 Agreement and subject to Article 7(3), to the carriage by road of passengers and goods on a triangular basis and to Swiss hometrade.

- 2. This Agreement shall apply to the international carriage by railof passengers and goods and to combined international transport. Itshall not apply to railway undertakings whose activities are limitedsolely to urban, suburban or regional operations.
- 3. This Agreement shall apply to transport operations carried out byroad transport undertakings or by railway undertakings established in one of the Contracting Parties.

Article 3

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Definitions 1. Road transport

For the purposes of this Agreement:

- "the occupation of road haulage operator" shall mean the activity of any undertaking transporting goods for hire or reward by means of either a motor vehicle or a combination of vehicles,
- "the occupation of road passenger transport operator" shall meanthe activity of any undertaking engaged, for hire or reward, in theinternational carriage of passengers by coach or bus,
- "undertaking" shall mean any natural person, any legal person, whether profit-making or not, any association or group of personswithout legal personality, whether profit-making or not, or anyofficial body, whether having its own legal personality or beingdependent upon an authority having such personality,
- "vehicle" shall mean a motor vehicle registered in the territoryof a Contracting Party or a combination of vehicles of which atleast the tractive unit is registered in the territory of a

Contracting Party and intended exclusively for the carriage ofgoods, or any motor vehicle so constructed and equipped as to besuitable for carrying more than nine persons, including the driver, and intended for that purpose,

- "international carriage" shall mean a journey undertaken by avehicle, the point of departure of which is on the territory of one

Contracting Party and the destination of which is on the territoryof the other Contracting Party or in a third country, or vice versa, and the movement of an unladen vehicle in connection with theaforesaid journey; if the point of departure or the destination of the journey is located in a third country, carriage must be effected by a vehicle registered in the Contracting Party where the point of departure or the destination of the journey is located,

- "transit" shall mean the carriage of goods or passengers (withoutloading or unloading) and the movement of unladen vehicles acrossthe territory of a Contracting Party,
- "Swiss home trade" shall mean any carriage of goods for hire orreward from one Member State of the Community to another Member

State by a vehicle registered in Switzerland, whether or not, in thecourse of the same journey and using the normal route, the vehicletravels through Switzerland,

- "triangular transport operations involving third countries" shallmean any carriage of passengers or goods from the territory of one

Contracting Party to a third country, and vice versa, by a vehicleregistered in the territory of the other Contracting Party, whetheror not, in the course of the same journey and using the normalroute, the vehicle travels through the country in which it is registered,

- "authorisation" shall mean the authorisation, licence orconcession required under the legislation of the Contracting Party.

2. Rail transport

For the purposes of this Agreement:

- "railway undertaking" shall mean any private or public undertakingwhose main business is to provide rail transport services for goodsand/or passengers with a requirement that the undertaking shouldensure traction; such traction may be provided using rolling stockthat is not the property of the railway undertaking concerned andusing staff who are not directly employed by the railway undertakingconcerned,
- "international grouping" shall mean any association of at least two railway undertakings established in different Member States of the Community, or, in the case of one of them, in Switzerland, for the purpose of providing international transport services between the Community and Switzerland,
- "infrastructure manager" shall mean any public body or undertakingresponsible in particular for establishing and maintaining railwayinfrastructure, as well as for operating the control and safetysystems,
- "licence" shall mean an authorisation issued by the competentauthority of a Contracting Party to an undertaking, by which its capacity as a railway undertaking is recognised. That capacity maybe limited to the operation of specific types of transport services,
- "licensing authority" shall mean the body charged by each

Contracting Party with the issue of licences,

- "train path" shall mean the infrastructure capacity needed to runa train between two places at a given time,
- "allocation" shall mean the allocation of railway infrastructurecapacity by an allocation body,
- "allocation body" shall mean the authority and/or infrastructuremanager designated by one of the Contracting Parties for theallocation of infrastructure capacity,
- "urban and suburban services" shall mean transport servicesoperated to meet the transport needs of an urban centre or conurbation, as well as the transport needs between such centre or conurbation and surrounding areas,
- "regional services" shall mean transport services operated to meet the transport needs of a region,
- "combined transport" shall mean the carriage of goods by heavygoods vehicles or loading units which complete part of their journeyby rail as well as begin and/or end the journey by road,
- "competitive rail transport prices": rail transport prices shallbe considered competitive if the average rail transport prices in

Switzerland are no higher than the road transport costs, as defined in Annex 9, for a similar route.

Article 4

Article 4

Reservation under the 1992 Agreement

Subject to the derogations introduced under this Agreement, therights and obligations of the Contracting Parties arising from the 1992 Agreement shall not be affected by the provisions of this

Agreement.

TITLE II

INTERNATIONAL ROAD TRANSPORT

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Article 5

Admission to the occupation1. Undertakings wishing to operate as professional road hauliersshall meet the following three requirements:

- (a) good repute;
- (b) appropriate financial fitness;
- (c) professional competence.
- 2. The provisions applicable in this area are set out in section lof Annex 1.

Article 6

Article 6

Social standards

The social provisions applicable in this area are set out in section2 of Annex 1.

Article 7

Article 7

Technical standards1. Subject to the provisions of paragraphs 2 and 3, Switzerlandshall adopt, no later than six months after signature of this

Agreement, arrangements that are equivalent to Community legislation on the technical conditions governing road transport, as set out in

section 3 of Annex 1. 2. Switzerland shall have a transitional period of two years, from

section 3 of Annex 1. 2. Switzerland shall have a transitional period of two years, from

the date on which this Agreement enters into force, to make itslegislation relating to technical controls for vehicles equivalent to Community law.

3. From 1 January 2001, the actual total laden weight limit appliedby Switzerland for articulated vehicles and road trains shall be 34tonnes for all types of traffic.

From 1 January 2005, Switzerland shall make its legislation on themaximum permissible weight limits for these vehicles ininternational traffic equivalent to that in force in the Communityon the date of signature of the Agreement.

- 4. The introduction of the road-use charges defined in Article 40shall proceed in parallel with the gradual increase in the weightlimit provided for in paragraph 3.
- 5. Each Contracting Party undertakes not to subject vehiclesapproved in the territory of the other Contracting Party toconditions that are more restrictive than those in force in its ownterritory.

Article 8

Article 8

Transitional arrangements governing the weight of vehicles1. With a view to the gradual introduction of the definitivearrangements defined in the second paragraph of Article 7(3), the carriage of goods by means of a vehicle the actual total ladenweight of which is in excess of 28 t (before 31 December 2000) or 34t (between 1 January 2001 and 31 December 2004) but does not exceed40 t, from a point of departure in the Community for a destination beyond the Swiss zone close to the frontier, as defined in Annex 6,

版权所有:全球法规网 Copyright@ http://policy.mofcom.gov.cn (and vice versa) or in transit across Switzerland shall be subject to a quota based on the payment of a supplementary charge for use of the infrastructure, in accordance with the procedures set out inparagraphs 2, 3 and 4. In the case of vehicles registered in

Switzerland, this quota may also be used for transport operationswithin Switzerland.

- 2. The Community shall receive a quota of 250000 authorisations for the year 2000. Switzerland shall receive a quota of 250000authorisations for the year 2000. In the event that the Agreementdoes not enter into force on 1 January 2000, the number of authorisations for the year 2000 shall be reduced on a pro ratabasis.
- 3. The Community shall receive a quota of 300000 authorisations for the year 2001 and the year 2002. Switzerland shall receive a quota of 300000 authorisations for the year 2001 and the year 2002.
- 4. The Community shall receive a quota of 400000 authorisations for the year 2003 and the year 2004. Switzerland shall receive a quota of 400000 authorisations for the year 2003 and the year 2004.
- 5. The use of the authorisations provided for in paragraphs 2, 3, and 4 shall be subject, in the case of each operator, whether Swissor Community, to the payment of a charge for the use of the Swissinfrastructure, calculated and levied in accordance with the procedures laid down in Annex 2.
- 6. With effect from 1 January 2005, vehicles meeting the technical standards laid down in the second paragraph of Article 7(3) shall be exempt, under Article 32, from any quota or authorisation arrangements.
- B. INTERNATIONAL CARRIAGE OF GOODS BY ROAD

Article 9

Article 9

Carriage of goods between the territories of the Contracting Parties1. The international carriage of goods by road for hire or reward aswell as unladen journeys between the territories of the Contracting

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Parties shall take place under the Community authorisation for

Community carriers, set out in Regulation (EEC) No 881/92 and ofwhich a model is given in Annex 3, and under a similar Swissauthorisation for Swiss carriers.

- 2. In the case of transport operations falling within the scope of this Agreement, these authorisations shall replace the bilateralauthorisations exchanged between the Member States of the Community and Switzerland which were necessary until the entry into force of this Agreement.
- 3. The transport operations referred to in Annex 4 shall be exemptfrom any carriage authorisation and any system of licences.
- 4. The procedures governing the issuing, renewal and withdrawal of authorisations and the procedures governing mutual assistance shallbe covered by the provisions of Regulation (EEC) No 881/92 for

Community carriers and by equivalent Swiss provisions.

Article 10

Article 10

Carriage of goods in transit across the territory of the Contracting

Parties1. The international carriage of goods for hire or reward as well asmovements of empty vehicles in transit across the territory of the

Contracting Parties shall be deregulated. These transport operations shall be carried out under the licences referred to in Article 9.

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2. Paragraphs 2, 3 and 4 of Article 9 shall apply.

Article 11

Article 11

Transit across Austria

A system of ecopoints equivalent to that provided for under Article11 of Protocol 9 of the Act of Accession of Austria to the European

Union shall apply to Swiss operators in transit across the territoryof Austria within the limits of validity of this Protocol. Themethod of calculation and the detailed rules and procedures for themanagement and control of the ecopoints shall be defined under anadministrative arrangement to be established by joint agreementbetween the Contracting Parties on conclusion of this Agreement andshall comply mutatis mutandis with the provisions of the aforesaid

Protocol 9.

Article 12

Article 12

Swiss home trade1. From 2001, Swiss home trade shall be permitted subject to the following conditions:

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- such transport operations shall be carried out under the Swissauthorisation referred to in Article 9(1),
- they shall be restricted to a single transport operation, on thereturn route, following on from the carriage of goods between

Switzerland and a Member State of the Community.

2. Until that date, however, it shall continue to be permissible toexercise existing rights under the bilateral agreements in force.

These rights are listed in Annex 5 to this Agreement.

3. From 2005, Swiss home trade shall be totally deregulated.

Transport operations shall be carried out under the Swiss licencereferred to in Article 9(1).

Article 13

Article 13

Triangular transport operations involving third countries1. The arrangements

governing triangular transport involving thirdcountries shall be determined by joint agreement on conclusion of the necessary agreement between, on the one hand, the Community and the third country in question and, on the other, Switzerland and thethird country in question. The purpose of these arrangements is toensure reciprocity of treatment between Community and Swissoperators with respect to triangular transport.

2. Pending the conclusion of agreements between the Community and the third countries concerned, this Agreement shall not affect the provisions relating to triangular transport as set out in bilateral agreements concluded between the Member States of the Community and

Switzerland concerning transport involving third countries. These ights are listed in Annex 5 to this Agreement.

3. Following the definition of the arrangements referred to inparagraph 1, Switzerland shall, as far as is necessary, conclude oradapt bilateral agreements with these third countries.

Article 14

Article 14

Transport between two points situated on the territory of a Member

State of the Community or between two points situated on Swissterritory

Transport between two points situated on the territory of a Member

State of the Community by a Swiss-registered vehicle and transportbetween two points situated on Swiss territory by a vehicleregistered in a Member State of the Community are not authorisedunder this Agreement.

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Article 15

Article 15

Ban on night driving and Sunday driving and exemptions from theweight limit1. The ban on night driving on Swiss territory shall apply onlybetween 22.00 and 05.00.

- 2. The exemptions from the weight limit and from the ban on nightdriving and Sunday driving are set out in Annex 6.
- 3. Exemptions from the ban on night driving shall be granted in anon-discriminatory manner and may be obtained from a single office.

They shall be granted against payment of a fee to cover theadministrative costs.

Article 16

Article 16

Abolition of certain exemptions from the weight limit

The provisions of Annex 6 (II) (3) and (4) of the 1992 Agreementshall no longer apply from the date on which this Agreement entersinto force.

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C. INTERNATIONAL CARRIAGE OF PASSENGERS BY COACH AND BUS

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Article 17

Article 17

Conditions applicable to carriers1. Carriers operating for hire or reward shall be permitted to carryout the transport services defined in Article 1 of Annex 7, without discrimination as to nationality or place of establishment, provided those carriers:

- are authorised in the Member State of the Community where they are established or in Switzerland to undertake carriage by coach and busin the form of regular services, including special regular services, or occasional services,
- meet legal requirements on road safety as far as the standards fordrivers and vehicles are concerned.
- 2. Own-account carriers shall be permitted to carry out thetransport services defined in Article 1(3) of Annex 7, without discrimination as to nationality or place of establishment, provided those carriers:

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- are authorised in the Member State of the Community where they are established or in Switzerland to undertake carriage by coach and busin accordance with the market-access conditions laid down by national legislation,
- meet legal requirements on road safety as far as the standards fordrivers and vehicles are concerned.
- 3. Carriers who meet the conditions set out in paragraph 1 may carryout international passenger transport operations by coach and busprovided, in the case of Community carriers, they hold a Communitylicence or, in the case of Swiss carriers, they hold a similar Swisslicence.

The model for such licences and the procedures for obtaining, using and renewing them shall be as laid down in Regulation (EEC) No684/92, as amended by Regulation (EC) No 11/98, for Community carriers and in equivalent Swiss legislation.

Article 18

Article 18

Access to the market1. Occasional services as referred to in Article 1(2.1) of Annex 7shall not require authorisation.

- 2. Special regular services, as defined in Article 1(1.2) of Annex 7shall not require authorisation if they are covered, on Communityterritory, by a contract concluded between the organiser and thecarrier.
- 3. Unladen journeys by vehicles in connection with the transportoperations referred to in paragraphs 1 and 2 shall likewise notrequire authorisation.
- 4. In accordance with Articles 2 et seq. of Annex 7, authorisationshall be required for regular services.
- 5. In accordance with Articles 2 et seq. of Annex 7, authorisationshall be required for special regular services not covered, on

Community territory, by a contract concluded between the organiserand the carrier.

In Switzerland, such services shall not require authorisation.

版权所有:全球法规网 Copyright© http://policy.mofcom.gov.cn 6. Own-account road transport operations defined in Article 1(3), of

Annex 7 shall not require authorisation but shall be subject, on Community territory, to a system of certificates.

Article 19

Article 19

Triangular transport operations involving third countries. The arrangements governing triangular transport involving thirdcountries shall be determined by joint agreement on conclusion of the necessary agreement between, on the one hand, the Community and the third country in question and, on the other, Switzerland and thethird country in question. The purpose of these arrangements is toensure reciprocity of treatment between Community and Swissoperators with respect to triangular transport.

- 2. Pending the conclusion of agreements between the Community and the third countries concerned, this Agreement shall not affect the provisions relating to transport referred to in paragraph 1 as setout in bilateral agreements concluded between the Member States of the Community and Switzerland concerning transport involving thirdcountries. These rights are listed in Annex 8 to this Agreement.
- 3. Following the definition of arrangements referred to in paragraph1, Switzerland shall, as far as is necessary, conclude or adaptbilateral agreements with these third countries.

Article 20

Article 20

Transport between two points situated on the territory of the same

Contracting Partyl. Transport between two points situated on the territory of thesame Contracting Party by carriers established in the territory of the other Contracting Party are not authorised under this Agreement.

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2. However, it shall continue to be permissible to exercise existing rights under the bilateral agreements concluded between the Member

版权所有:全球法规网 Copyright@ http://policy.mofcom.gov.cn States of the Community and Switzerland that are in force, provided there is no discrimination between Community carriers and nodistortion of competition. These rights are listed in Annex 8 tothis Agreement.



Article 21

Procedures

The procedures governing the issuing, use, renewal and expiry of authorisations and the procedures governing mutual assistance shallbe covered by the provisions of Annex 7 to this Agreement.

Article 22

Article 22

Transitional provision

Authorisations in respect of services existing at the time of entryinto force of this Agreement shall remain valid until their expiry, to the extent that the services in question continue to be subject to authorisation.

TITLE III

INTERNATIONAL RAIL TRANSPORT

Article 23

Article 23

Management independence

The Contracting Parties undertake:

- to guarantee the management independence of the railwayundertakings, mainly

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by according them independent status, thusenabling them to adapt their activities to the market and to managetheir affairs under the responsibility of their governing bodies,

- to separate the management of the railway infrastructure from theprovision of railway transport services, at least at the accountinglevel; aid paid to one of these two areas of activity may not betransferred to the other.

Article 24

Article 24

球法律法规 Access and transit rights with regard to the railway infrastructurel. Railway undertakings and international groupings shall have theaccess and/or transit rights defined in the Community legislation referred to in Annex 1, section 4.

2. Railway undertakings established on the territory of one

Contracting Party shall be granted right of access to theinfrastructure on the territory of the other Contracting Party forthe purpose of operating international combined transport services.

3. Railway undertakings and international groupings making use of their access or transit rights shall conclude the necessaryadministrative, technical and financial agreements with the managers of the railway infrastructure used with a view to regulating trafficcontrol and safety issues concerning the international transportservices referred to in paragraphs 1 and 2.

Article 25

Article 25

Railway licences1. The granting of an appropriate licence for the type of railwayservice in question shall be a precondition for any access ortransit request involving the railway infrastructure, and hence theright to provide transport services. However, such a licence shallnot in itself confer right of access to the railway infrastructure.

2. A railway undertaking shall be entitled to apply for a licence in

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Switzerland or in the Member State of the Community in which it is established. The Contracting Parties shall not issue licences or extend their validity where the requirements of this Agreement havenot been met.

- 3. Under the responsibility of the Contracting Parties, the licencesshall be issued by the authority responsible for licences specially designated for existing and new undertakings.
- 4. The licences shall be recognised in the Community or in

Switzerland on a reciprocal basis.

5. They shall be subject to requirements laid down by the

Contracting Parties relating to good repute, financial fitness, professional competence and cover for civil liability during theirentire period of validity. The provisions applicable in this area are set out in Section 4 of Annex 1.

6. A licence shall be valid for as long as the railway undertakingfulfils its obligations under the abovementioned provisions.

However, the authority responsible may require licences to bereviewed at regular intervals.

7. The procedures for checking, amending, suspending or withdrawinga licence shall be governed by the abovementioned legal provisions.

Article 26

Article 26

Issuing the safety certificate1. The Contracting Parties shall also require railway undertakings to submit a safety certificate setting out the safety requirements imposed on them with a view to ensuring a risk-free service on theroutes in question.

- 2. A railway undertaking may apply for a safety certificate to abody designated by the Contracting Party in whose territory theinfrastructure used by the railway body is situated.
- 3. To obtain the safety certificate, the railway undertaking must comply with Swiss law in respect of that part of the route which is located in Switzerland

and with Community law in respect of that part of the route which is located on Community territory.

Article 27

Article 27

Allocation of train paths1. Each Contracting Party shall designate the body responsible forallocating capacity, whether it be a specific authority or theinfrastructure manager. In particular, the allocation body, whichshall be informed of all train paths available, shall ensure that:

- railway infrastructure capacity is allocated on a fair and non-discriminatory basis and that,
- subject to paragraphs 3 and 4 of this Article, the allocation procedure allows optimum effective use of the infrastructure.
- 2. A railway undertaking or international grouping applying for one or more train paths shall submit its application to the allocation body or bodies of the Contracting Party on whose territory the departure point of the service concerned is situated. The allocation body to which an application for infrastructure capacity has been submitted shall immediately inform its counterparts of this request.

The latter shall take a decision no later than one month afterreceiving the necessary information; each allocation body shall have the right to refuse an application. The allocation body to which anapplication has been submitted shall, together with its counterparts, take a decision on the application no later than two months after all the necessary information has been submitted. The procedures for dealing with the handling of applications for infrastructure capacity are governed by the provisions set out in

Section 4 of Annex 1. 3. The Community and Switzerland may take the necessary measures to

Section 4 of Annex 1. 3. The Community and Switzerland may take the necessary

版权所有:全球法规网 Converget(®) http://nolicy.mofcom.gov.cn ensure that priority is given to the following rail services in the allocation of railway infrastructure capacity:

- (a) services provided in the public interest;
- (b) services wholly or partly operated on infrastructure constructedor developed for these services (for example, special high-speed orspecialised freight lines).
- 4. The Community and Switzerland may instruct the allocation body togrant special rights as regards infrastructure capacity allocation a non-discriminatory basis to railway undertakings operating certain types of services or providing such services in certainareas if such rights are indispensable to ensure adequate public services or efficient use of infrastructure capacity or to allow the financing of new infrastructures.
- 5. The Contracting Parties may provide for the possibility that applications for infrastructure access are accompanied by a depositor similar security.
- 6. The Community and Switzerland shall draw up and publishprocedures for allocating railway infrastructure capacity. They shall notify accordingly the Joint Committee set up under Article 51 of this Agreement.

Article 28

Article 28

Accounts and user feesl. The accounts of an infrastructure manager must be at least inbalance when considered over a reasonable period of time between, onthe one hand, the revenue accruing from these fees and from possible

State contributions and, on the other, the infrastructure expenditure.

- 2. The infrastructure manager shall apply a railway infrastructureuser fee, which he shall be responsible for managing, to be paid bythe railway undertakings or international groupings using this infrastructure.
- 3. The infrastructure user fees shall be determined mainly on thebasis of the type of service, the period of service, the state of the market and the nature and degree of wear and tear on theinfrastructure.

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- 4. Fees shall be payable to the infrastructure manager (s).
- 5. Each Contracting Party shall determine the procedures for fixingthe fees, after consultations with the infrastructure manager. Thefees charged for services of an equivalent nature in the same marketshall apply without discrimination.
- 6. The infrastructure manager shall, in good time, notify therailway undertakings or international groupings which use hisinfrastructure in order to provide the services referred to in

Article 24 of all major changes in the quality or capacity of the

Article 24 of all major changes in the quality or capacity of the infrastructure concerned.

Article 29 法律法规

Article 29

Appeals1. The Contracting Parties shall take the necessary measures toensure that decisions on allocating infrastructure capacity or oncollecting fees are subject to appeal before an independent body.

This body shall give its judgement within two months of receiving all the necessary information.

2. The Contracting Parties shall take the necessary measures toensure that the decisions taken in accordance with paragraph 1 andwith Article 25(3) are subject to judicial review.

TITLE IV

COORDINATED TRANSPORT POLICY

A. GENERAL PROVISIONS

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Article 30

Objectives 1. The Contracting Parties have agreed to develop, as and wherenecessary, a coordinated transport policy covering passengers and goods. The aim of this policy is to combine transport systemsefficiency with environmental protection so as to ensure sustainablemobility.

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2. The Contracting Parties shall make every effort to create broadlycomparable transport conditions, including tax arrangements, intheir respective territories, particularly with a view to avoiding the diversion of traffic in the Alpine regions and to ensuring better traffic distribution in those areas.

Article 31

Article 31

Measures1. To this end, the Contracting Parties shall take measures designed to ensure healthy competition between and within the various modes of transport and to facilitate the use of more environmentally soundmeans of transporting passengers and goods.

- 2. In addition to the provisions set out in Titles II and III, thesemeasures shall include:
- developing transalpine railway infrastructures and makingavailable railway transport services and combined transport services that are competitive in terms of price and quality,
- introducing appropriate road transport charging systems, 球法律法规
- supporting measures.
- 3. The measures taken by the Contracting Parties under this

Agreement shall be implemented progressively and, as far aspossible, in a coordinated manner.

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Principles

Subject to the provisions of Article 47, the measures set out in

Article 31 shall comply with the following principles:

Article 31 shall comply with the following principles:

- no discrimination, whether direct or indirect, on the grounds of the nationality of the carrier, the place of registration of the vehicle, or the origin and/or destination of the transportoperation,
- free choice of the mode of transport,
- no unilateral quantitative restrictions,
- territoriality,
- proportionality in the imposition of charges relating to transportcosts, extending also to criteria relating to the type of vehicle,

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- transparency,
- comparable conditions for using different transalpine routes,
- avoiding any distortion of traffic flows in the Alpine regions,
- reciprocity.
- B. RAIL AND COMBINED TRANSPORT

Article 33

Article 33

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Objectives 1. The Contracting Parties agree on the objective of establishing arail and combined transport capability that is adequate in terms of capacity and that is competitive, both economically and in terms of quality of service, with road transport for the Alpine region, whileat the same time respecting the principles set out in Article 32 andensuring not only the free play of market forces, notably by openingup access to the railway infrastructure as provided for in Title

- III, but also the independence of the railway undertakings.
- 2. To this end, the Contracting Parties shall:
- within the limits of their competence take the necessaryinfrastructure and operational measures, in Switzerland and on

Community territory, to ensure the long-term viability, cohesion and integration of Swiss capability in a long-distance railway system,

- also undertake to develop the interconnection and interoperability of their rail and combined transport networks. They shall ensure thenecessary cooperation for this purpose with the international organisations and institutions concerned and instruct the Joint

Committee to monitor these aspects.

3. The Contracting Parties undertake that, while phasing in the roadtax arrangements referred to in Article 40, they will also takesteps to provide users with a rail and combined transport capabilitywhich, in terms of capacity, price and quality, is such as to ensure equitable distribution of traffic over the various transalpineroutes.

Article 34

Article 34

Railway supply capacityl. The Contracting Parties confirm their respective commitments asset out in Articles 5 and 6 of the 1992 Agreement, whereby it isforeseen that Switzerland constructs a new rail link through the

全球法律法规

Alps (NRLA) and the Community is to increase the capacity of thenorth and south access routes to the NRLA. Furthermore, they areagreed that these new railway infrastructures will be constructed inaccordance with loading gauge C

of the IUR.

2. For the Community the infrastructure measures referred to inparagraph 1 form part of the measures taken under, and in accordancewith, Decision No 1692/96/EC of the European Parliament and of the

Council on Community guidelines for the development of thetrans-European transport network, including the rail and combinedtransport axes across the Alps and, in particular, the access routesto the Swiss railway infrastructures and the combined transportinstallations.

- 3. Both Contracting Parties shall work together to enable theirrespective competent authorities to plan and implement, in accordinated manner, the infrastructure, rail and combined transportmeasures necessary to meet the commitments referred to in paragraphs1 and 2 and to harmonise the timetable of work depending on the capacity required. To this end, they shall pursue the aim of producing a return on investment and shall take all appropriate measures within the Joint Committee.
- 4. The Joint Committee may set up a subcommittee with responsibility for overseeing the coordination of infrastructure projects in the

Alpine region. The subcommittee shall consist of representatives of

Switzerland, the Community and the Member States of the Communitywhich are situated in the Alpine region.

Article 35

Article 35

Economic parameters1. The Contracting Parties shall do everything necessary to achieve the objective set out in Article 33. To this end, they shall takesteps to ensure that the carriage of goods by rail and combined transport across Switzerland, including accompanied combined transport, remains competitive, in terms of price and quality of service, with road transport over the same routes, while at the same time honouring guarantees regarding the independence of the railwayundertakings.

2. With a view to establishing a suitable rail and combinedtransport capability, the Contracting Parties may provide financial support for investment in railway infrastructure, fixed or mobile equipment needed for transhipment between terrestrial modes, transport equipment specifically

adapted to combined transport and used for combined transport and, as far as their respectivelegislation permits, operating costs for combined transport services crossing Swiss territory, to the extent that these measures help to improve the level of quality and competitiveness in terms of prices of the rail and combined transport capability and do not create anydisproportionate distortion of competition between operators.

Responsibility for rail transport pricing shall continue to be exercised by the competent authorities or entities.

- 3. The Contracting Parties may also conclude public servicecontracts with the railway undertakings in order to guaranteeadequate rail transport services, taking particular account of social and environmental factors.
- 4. Each of the Contracting Parties shall take steps, within the limits of its competence, to ensure that the effect on the market of any official aid granted by one of the Contracting Parties is notundermined by the behaviour of the other Contracting Party or anentity established on its own territory or on the territory of theother Contracting Party.
- 5. The Joint Committee shall monitor the application of this Articleby the Contracting Parties.

Article 36

Article 36

Quality parameters1. The Contracting Parties agree to do everything necessary toachieve the objective set out in Article 33. To this end, theyundertake to promote combined transport.

2. During the transitional phase referred to in Article 8,

ations Switzerland also undertakes, in accordance with Title II of the 1992

Agreement, to establish an accompanied combined transport ("rollinghighway") capability which is competitive in quality and price terms with road transport.

3. The Contracting Parties shall do everything necessary to promotecombined transport. In particular, they shall take steps to ensure that the following provisions are applied:

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- compliance with the regulations governing technical and social standards for road transport, notably as regards driving time andrest periods, speed limits and maximum weight and dimensionstandards,
- reduction of rail transport frontier controls and transfer of these controls to the point of embarkation or disembarkation inaccordance with the Convention of 20 May 1987 between the Communityand Switzerland and between the EFTA countries on a common transit procedure,
- facilitation of the organisation of the combined transport chainby simplifying the regulatory and administrative conditions governing each of the Contracting Parties,
- provision of incentives to combined transport operators andrailway operators to improve the quality of their service.
- A list of railway parameters is set out in Annex 9. These parameters shall be taken into consideration when invoking Article 46.
- 4. Within the scope of their powers, the Contracting Parties shallensure that appropriate measures are taken to permit the swiftcreation of rail freight corridors. They shall keep each otherregularly informed of any measures they propose to take in relation to these rail corridors.
- 5. The Joint Committee shall prepare a report every two years on theimplementation of the measures referred to in this Article.
- C. ROAD TRANSPORT CHARGING SYSTEMS

Article 37

Objectives

球法律法规 Within the scope of their powers and in accordance with their respective procedures and with the objectives of Title III of the 1992 Agreement, the Parties shall set themselves the objective of gradually introducing charging systems geared towards charging toroad vehicles and other modes of transport the costs to which they give rise.

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Article 38

Principles1. The charging systems shall be based on the principles set out in

Article 32, notably the principles of non-discrimination,

Article 32, notably the principles of non-discrimination,

proportionality and transparency.

- 2. Charges shall consist of taxes on vehicles, taxes on fuels and fees for the use of the road network.
- 3. In seeking to achieve the objectives referred to in Article 37, preference shall be given to measures which do not result indiverting traffic away from the technically, economically andgeographically most suitable route between the point of departureand the point of final destination of the transport operation.
- 4. The measures shall be applied in such a way as not to impede thefree movement of goods or services between the Contracting Parties, notably as regards the administration and collection of tolls orroad—use charges, the absence of controls or systematic verificationat the frontiers between the Contracting Parties and the absence of excessive formalities. In order to avoid difficulties in this respect, Switzerland shall endeavour to apply the Community rules inforce in this area.
- 5. The provisions of this Chapter shall apply to vehicles having amaximum authorised weight (MAW), stated on their registrationdocument, of 12 tonnes or more. However, this Agreement shall notprevent the adoption by either Contracting Party, on its territory, of measures designed to include vehicles which have an MAW of lessthan 12 tonnes.
- 6. The Contracting Parties shall not grant to firms, including transport undertakings, any direct or indirect State aid designed tomake it easier for those firms to bear the burden of the transportcharges levied under the charging systems provided for in this

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Article 39

Article 39

Interoperability of instruments

The Contracting Parties shall hold consultations within the Joint

Committee to achieve an appropriate level of interoperability of theelectronic systems for collecting road use charges.

s & Regulations

Article 40

Article 40

Swiss measures1. With a view to achieving the objectives set out Article 37 and inthe light of the weight limit increases stipulated in Article 7(3),

Switzerland shall introduce a non-discriminatory tax on vehicles, intwo stages commencing on 1 January 2001 and 1 January 2005 respectively. In particular, the tax shall be based on the principles referred to in Article 38(1) and on the procedures setout in Annex 10.

- 2. The charges shall be differentiated according to three categories of emission standards (EURO). Under the taxation system applicable from 1 January 2005, the difference in charge from one category to another must be as large as possible but must not exceed 15 % of the weighted average of the charges referred to in paragraph 4.
- 3. (a) Under the taxation system applicable from 1 January 2001, themaximum amounts in the case of a vehicle having an actual totalladen weight of not more than 34 t and travelling a distance of 300km across the Alps may not exceed CHF 205 for a vehicle notcomplying with the EURO standards, CHF 172 for a vehicle complying with the EURO I standard and CHF 145 for a vehicle complying withthe EURO II standard.
- (b) By way of derogation from paragraph (a), the Community shall, for the period 1 January 2001 to 31 December 2004, receive an annual quota for 220000

版权所有:全球法规网 Copyright© http://policy.mofcom.gov.cn single journeys by empty vehicles or vehiclescarrying light products, provided the actual total laden weight of the vehicle does not exceed 28 tonnes, in transit across the Swiss

Alps, against payment of a charge for use of the infrastructure.

This charge shall be CHF 50 in the year 2001, CHF 60 in 2002, CHF 70in 2003 and CHF 80 in 2004. Switzerland shall also receive a quotasubject to the same conditions. These journeys shall be subject to the usual control procedure.

- 4. Under the taxation system applicable from 1 January 2005, theweighted average of the charges shall not exceed CHF 325 forvehicles having an actual total laden weight of not more than 40 tand travelling a distance of 300 km across the Alps. The charge forthe most polluting category shall not exceed CHF 380.
- 5. A part of the charges referred to in paragraphs 3 and 4 may be made up of toll fees for the use of specialised Alpineinfrastructure. This part must not constitute more than 15 % of thecharges referred to in paragraphs 3 and 4.
- 6. The weightings referred to in paragraph 4 shall be determined according to the number of vehicles per EURO standard categoryoperating in Switzerland. The number of vehicles in each categoryshall be established on the basis of censuses which will be examined by the Joint Committee. The Joint Committee shall determine theweighting on the basis of examinations, the first of which shalltake place before 1 July 2004, carried out every two years, in order to take account of trends in the structure of the vehicle fleetoperating in Switzerland and changes in the EURO standards.

Article 41

Article 41

Community measures

球法律法规 The Community shall continue to develop charging systems applicable on its territory, reflecting the costs arising from the use of theinfrastructure. These systems shall be based on the "user-pays"

principle.

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Article 42

Review of the level of charges 1. On 1 January 2007, and at two-yearly intervals thereafter, themaximum levels of the charges fixed in Article 40(4) shall beadjusted in line with the rate of inflation in Switzerland duringthe previous two years. For this purpose, Switzerland shall send to the Joint Committee, by 30 September at the latest of the yearpreceding the adjustment, the necessary statistical data on which tobase the adjustment under consideration. The Joint Committee shallmeet, at the Community's request, within 30 days of receiving this communication, to hold consultations on the adjustment underconsideration.

If, during the period between signature of this Agreement and 31

December 2004, the average annual rate of inflation in Switzerlandexceeds 2 %, the maximum levels of the charges fixed in Article 40(4) shall be adjusted to take account only of the inflation which exceeds the annual rate of 2 %. The procedure laid down in the previous subparagraph shall apply.

- 2. With effect from 1 January 2007, the Joint Committee may, at therequest of one of the Parties, review the maximum levels of thecharges fixed in Article 40(4) with a view to adjusting them by joint agreement. This review shall be undertaken on the basis of thefollowing criteria:
- the level and structure of taxes in the two Contracting Parties, notably with regard to comparable transalpine routes,
- the distribution of traffic between comparable transalpine routes,
- modal distribution trends in the Alpine region,
- the development of the transalpine railway infrastructure. 全球法律法规
- D. SUPPORTING MEASURES

Article 43

Article 43

Facilitation of frontier controls1. The Contracting Parties undertake to

reduce and simplify theformalities associated with transport operations, particularly inthe area of customs.

2. The Agreement between the European Economic Community and the

Swiss Confederation on the simplification of inspections and formalities in respect of the carriage of goods of 21 November 1990, the Convention on a common transit procedure of 20 May 1987 and, in the case of rail transport, the agreement between railway companies on technical inspections for the assignment of goods wagons to international transport operations shall serve as the basis for themeasures taken by the Contracting Parties in pursuance of paragraph1.

Article 44



Article 44

Ecological standards for commercial vehicles. With a view to ensuring better environmental protection and without prejudice to the obligations incumbent under Article 7, the

Contracting Parties shall seek, in particular, to introduceecological standards providing a high level of protection in order to reduce exhaust gas, particle and noise emissions from heavy goodsvehicles.

- 2. The Contracting Parties shall hold regular consultations in thecourse of preparing these standards.
- 3. The EURO emission category for heavy goods vehicles (as defined n Community legislation), if not stated on the vehicle registration document, must be ascertained from the date on which the vehicle first entered into service, as stated on that document, or, whereappropriate, from an additional special document issued by the competent authorities of the issuing State.

Article 45



Article 45

Traffic observatory1. A permanent observatory for the monitoring of road, rail and combined traffic in the Alpine region shall be set up as soon as this Agreement enters into force. It shall report annually ontraffic trends to the

Joint Committee set up under Article 51 of this Agreement. The Joint Committee may also ask the observatory toprepare a special report, particularly in cases where the provisions of Articles 46 and 47 of this Agreement are applied.

2. The work of the observatory shall be financed by the Contracting

Parties, in a proportion to be decided by the Joint Committee.

3. The Contracting Parties shall determine the administrative procedures governing the operation of the observatory by means of adecision of the Joint Committee to be taken at its first meeting.

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E. CORRECTIVE MEASURES

Article 46

Article 46

Unilateral safeguard measures1. If, after 1 January 2005, despite competitive rail prices and thecorrect application of the measures provided for in Article 36regarding quality parameters, there are difficulties with Swisstransalpine road traffic flows and if, over a 10-week period, theaverage rate of utilisation of the rail capacity in Switzerland

(accompanied and unaccompanied combined transport) is less than 66

%, Switzerland may, by way of derogation from the provisions of

Article 40 (4) and (5), increase the charges provided for in Article

Article 40 (4) and (5), increase the charges provided for in Article

- 40(4) by no more than 12,5 %. All the revenue from this increaseshall be used to help make rail and combined transport more competitive vis--vis road transport.
- 2. Where the same circumstances as those set out in paragraph loccur on its territory, the Community may, subject to comparable conditions, take similar corrective measures.

- 3. (a) The above measure shall be limited in scope and duration towhatever is strictly necessary to remedy the situation. The duration of the measure may not exceed six months but may be extended for one further period of six months. Further extensions may be agreed by the Joint Committee.
- (b) Where one of the Contracting Parties has, on a previous occasion, applied the measures referred to in paragraph 1 or 2, further recourse to such measures by that Contracting Party shall besubject to the following conditions:
- where the duration of the previous measures did not exceed sixmonths, further measures may be taken only after a period of 12months from the date of cessation of the previous measures,
- where the duration of the previous measures exceeded six months, further measures may be taken only after a period of 18 months from the date of cessation of the previous measures,
- under no circumstances may safeguard measures be introduced morethan twice within five years of the date on which such measures werefirst introduced.

The Joint Committee may decide, by common agreement, to derogate from these conditions in specific cases.

4. Before taking the measures provided for in the foregoingparagraphs, the Contracting Party concerned shall inform the Joint

Committee of its intention. The Joint Committee shall meet toexamine the matter. Save where the Joint Committee decides otherwise, the Contracting Party concerned may take the measure inquestion after a period of 30 days from the date of notification of the measure to the Joint Committee.

Article 47

Article 47

Consensual safeguard measures1. In the event of serious disturbance of transalpine traffic flows, prejudicing the attainment of the objectives set out in Article 30of this Agreement, the Joint Committee shall meet, at the request ofone of the Contracting Parties, in order to decide on appropriatemeasures to deal with the situation. The requesting Contracting

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Party shall immediately inform the traffic observatory, which shallreport

within 14 days on the situation and on any measures to betaken.

- 2. The Joint Committee shall meet within 15 days of the requestbeing submitted. It shall examine the situation, taking due account of the traffic observatory's report. Within 60 days of its firstmeeting on the matter, the Joint Committee shall decide on themeasures to be taken. This period may be extended by commonagreement.
- 3. These safeguard measures shall be limited, in scope and duration, to whatever is strictly necessary to remedy the situation. Prioritymust be given to options which interfere as little as possible withthe operation of the Agreement.

Article 48

Article 48

Crisis measures

If transalpine traffic flows are seriously disrupted for reasons offorce majeure, such as in the event of a natural disaster, the

Contracting Parties shall, each on its own territory, take allpossible concerted action to restore and maintain the flow of thetraffic. Priority shall be given to sensitive cargoes such asperishable goods.

TITLE V

GENERAL AND FINAL PROVISIONS

Article 49

Article 49

Implementation of the Agreement1. The Contracting Parties shall take all appropriate measures, bothgeneral and particular, to ensure the fulfilment of obligations under this Agreement.

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2. They shall refrain from taking any measure likely to jeopardisethe achievement of the objectives of this Agreement.

3. The provisions of this Agreement relating to maximum authorisedweight limits for articulated vehicles and road trains and totransport charging systems shall be implemented under a two-stageprocedure, from 1 January 2001 to 31 December 2004 and from 1

January 2005.

Article 50

Article 50

Rectification measures

If one Contracting Party finds that the other Contracting Party hasfailed to comply with the obligations laid down in this Agreement orhas failed to implement a decision of the Joint Committee, theinjured Contracting Party may, after consultations within the Joint

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Committee, take appropriate measures to maintain the balance of this

Agreement. The Contracting Parties shall provide the Joint Committeewith all the necessary information to enable it to carry out anin-depth examination of the situation.

Article 51

Article 51

Joint Committeel. A Joint Committee known as the "Community/Switzerland Inland

Transport Committee" is hereby established; it shall be made up of representatives of the Contracting Parties and be responsible for the management and proper application of this Agreement. To this end, it shall draw up recommendations. It shall take decisions onmatters provided for under the Agreement; these decisions shall becarried out by the Contracting Parties in accordance with their ownrules. The Joint Committee shall reach its decisions by commonagreement.

2. In particular, the Joint Committee shall be responsible for themonitoring and application of the provisions of this Agreement and, in particular,

Articles 27(6), 33, 34, 35, 36, 39, 40, 42, 45, 46, 47 and 54. It shall implement the adaptation and revision clauses referred to in Articles 52 and 55.

3. In order to ensure the satisfactory implementation of this

Agreement, the Contracting Parties shall exchange information on aregular basis and, at the request of one of them, shall holdconsultations within the Joint Committee. The Contracting Partiesshall exchange information provided by the authorities responsible for applying this Agreement and, in particular, for issuing authorisations and carrying out inspections. These authorities shallengage in a direct exchange of correspondence.

- 4. The Joint Committee shall adopt, by a decision, its rules of procedure which shall include, among other provisions, the procedures for convening meetings, appointing the Chairman and laying down the latter's terms of reference.
- 5. The Joint Committee shall meet as and when necessary, and atleast once a year. Either Contracting Party may request the convening of a meeting.
- 6. The Joint Committee may decide to set up such working parties orgroups of experts as it sees fit to assist it in the accomplishmentof its tasks.
- 7. This Committee shall also perform the duties previously performed by the Joint Committee known as the "Community/Switzerland Inland

Transport Committee" set up under Article 18 of the 1992 Agreement.

Article 52

Article 52

Development of laws1. Subject to compliance with the principle of non-discrimination and with the provisions of this Agreement, this Agreement shall not prejudice the right of either Contracting Party to amendunilaterally its domestic legislation in the areas covered by this

Agreement.

2. As soon as one of the Contracting Parties draws up newlegislation in an area covered by this Agreement, it shall formally request the opinion of experts of the other Contracting Party.

During the period preceding the formal adoption of this newlegislation, the Contracting Parties shall keep each other informedand shall hold consultations as and when necessary. At the requestof one of the Contracting Parties, a preliminary exchange of viewsshall take place within the Joint Committee, in particular asregards the impact such an amendment would have on the operation of the Agreement.

3. At the time of adoption of the amended legislation, and at thelatest eight days after its publication in the Official Journal of the European Communities or in the Official Compilation of Federal

Laws and Decrees, the Contracting Party concerned shall notify theother Contracting Party of the text of these new provisions. At therequest of one of the Contracting Parties, an exchange of viewsshall take place within the Joint Committee regarding the impact of the amendment on the operation of this Agreement no later than twomonths after the date of submission of the request.

- 4. The Joint Committee shall either:
- adopt a decision revising Annexes 1, 3, 4 and 7 or, if necessary, propose that the provisions of this Agreement be revised, so as toincorporate therein, as and where necessary and on a basis of reciprocity, the amendments to the legislation concerned, or
- adopt a decision whereby the amendments to the legislationconcerned are deemed to conform to this Agreement, or
- decide on any other measure aimed at safeguarding the properfunctioning of this Agreement.
- 5. The Joint Committee shall decide on the procedures for adaptingthis Agreement to the relevant provisions of future agreements between the Community or Switzerland, on the one hand, and thirdcountries, on the other, as referred to in Articles 13 and 19.
- 6. With a view to attaining the objectives set out in this

Agreement, the Contracting Parties shall, in accordance with the timetable laid down in Article 49, take all necessary measures to ensure that the rights and obligations equivalent to those contained in the legal instruments of the Community, as listed in Annex 1, are actively applied in the course of their relations.

Article 53

Article 53

Confidentiality

The representatives, experts and other agents of the Contracting

Parties shall be required, even after termination of their appointments, not to divulge information obtained in the context of this Agreement and which, by its very nature, is covered by the obligation of professional secrecy.

Article 54

Article 54

Settlement of disputes

Each Contracting Party may bring a matter under dispute which concerns the interpretation or application of this Agreement to the

Joint Committee, which shall endeavour to settle the dispute. The

Joint Committee shall be provided with all relevant information for an in-depth examination of the situation with a view to finding anacceptable solution. To that end, the Joint Committee shall berequired to examine all possibilities for maintaining the goodfunctioning of this Agreement.

Article 55

Article 55

Revision of the Agreement1. If one of the Contracting Parties wishes to have the provisions of this Agreement revised, it shall notify the Joint Committeeaccordingly. Subject to paragraphs 2 and 3, the amended version of this Agreement shall enter into force on completion of therespective internal procedures.

2. Annexes 1, 3, 4 and 7 may be amended by a decision of the Joint

Committee in accordance with Article 51(1) so as to take account of developments in Community legislation in this area.

3. Annexes 5, 6, 8 and 9 may be amended by a decision of the Joint Committee in accordance with Article 51(1).

Article 56

Article 56 Annexes

Annexes 1 to 10 shall form an integral part of this Agreement.

Article 57

Article 57

Territorial scope

This Agreement shall apply, on the one hand, to the territories inwhich the Treaty establishing the European Community is applied andunder the conditions laid down in this Treaty and, on the other, to the territory of Switzerland.

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Article 58

Article 58

Final clauses1. This Agreement shall be ratified or approved by the Contracting

Parties in accordance with their own procedures. It shall enter intoforce on the first day of the second month following the finalnotification of the deposit of the instruments of ratification orapproval of all the following seven agreements:

- Agreement on the Carriage of Goods and Passengers by Rail and

Road,

- Agreement on the Free Movement of Persons,
- Agreement on Air Transport,
- Agreement on Trade in Agricultural Products,
- Agreement on Mutual Recognition in Relation to Conformity

Assessment,

- Agreement on Certain Aspects of Government Procurement,
- Agreement on Scientific and Technological Cooperation.
- 2. This Agreement shall be concluded for an initial period of sevenyears. It shall be renewed indefinitely unless the Community or

Switzerland notifies the other Contracting Party to the contrarybefore the initial period expires. Where such notification is given, paragraph 4 shall apply.

- 3. The Community or Switzerland may terminate this Agreement by notifying its decision to the other Contracting Party. Where suchnotification is given, paragraph 4 shall apply.
- 4. The seven agreements referred to in paragraph 1 shall cease to beapplicable six months after receipt of the notification of non-renewal, as referred to in paragraph 2, or of termination, as referred to in paragraph 3.

Hecho en Luxemburgo, el veintiuno de junio de mil novecientosnoventa y nueve, en doble ejemplar en lenguas alemana, danesa, espaola, finesa, francesa, griega, inglesa, italiana, neerlandesa, portuguesa ysueca, siendo cada uno de estos textos igualmenteautntico./Udfrdiget i Luxembourg, den enogtyvende juni nittenhundrede og nioghalvfems, i to eksemplarer p dansk, engelsk, finsk, fransk, grsk, italiensk, nederlandsk, portugisisk, spansk, svensk og tysk, idet hver af dissetekster har samme gyldighed./Geschehen zu Luxemburg ameinundzwanzigsten Juni neunzehnhundertneunundneunzigin zwei Urschriften in dönischer, deutscher, englischer, finnischer, franzsischer, griechischer, italienischer, niederlöndischer, portugiesischer, spanischer und schwedischer Sprache, wobei jederdieser Wortlaute gleichermaen verbindlich ist./ >ISO-7> ó

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Luxembourg on the twenty-first day of June in the year one thousandand ninetynine, and drawn up in duplicate in the Danish, Dutch, English, Finnish,

French, German, Greek, Italian, Portuguese, Spanish and Swedishlanguages, each text being equally authentic./Fait Luxembourg, levingt-et-un juin mil neuf cent quatre-vingt dix-neuf, en double exemplaire en langues allemande, anglaise, danoise, espagnole, finnoise, fran ÷ aise, grecque, italienne, nerlandaise, portugaise et sudoise, chacun de ces textes faisant galementfoi./Fatto a Lussemburgo, add ü ventuno giugnomillenovecentonovantanove, in duplice copia, in lingua danese, finlandese, francese, greca, inglese, italiana, olandese, portoghese, spagnola, svedese etedesca, ciascun testo facente ugualmente fede./Gedaan te Luxemburg, de eenentwintigste juni negentienhonderd negenennegentig, in twee exemplaren in de Deense, de Duitse, de Engelse, de Finse, de

Franse, de Griekse, de Italiaanse, de Nederlandse, de Portugese, de

Spaanse en de Zweedse taal, zijnde alle teksten gelijkelijkauthentiek./Feito em Luxemburgo, em vinte e um de Junho de milnovecentos e noventa e nove, em duplo exemplar nas lnguas alemó, dinamarquesa, espanhola, finlandesa, francesa, grega, inglesa, italiana, neerlandesa, portuguesa e sueca, fazendo f qualquer dos textos./Tehty

Luxemburgissa kahdentenakymmenenten ö ensimm ö usen ö pö iv ö nö kes ō kuutavuonna tuhatyhdeks ō nsataayhdeks ō nkymment ō yhdeks ō nkahtena kappaleena englannin, espanjan, hollannin, italian, kreikan, portugalin, ranskan, ruotsin, saksan, suomen ja tanskan kielellö, jakaikki teksti ovat yhtō todistusvoimaiset./Utfōrdat i Luxemburg dentjugofrsta juni nittonhundranittionioi tv exemplar p det danska, engelska, finska, franska, grekiska, italienska, nederlōndska, portugisiska, spanska, svenska och tyskasprket, vilka samtliga texter ōr lika giltiga.

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Fr der Schweizerischen Eidgenossenschaft

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LIST OF ANNEXES

>TABLE POSITION>

ANNEX 1
APPLICABLE PROVISIONS

In accordance with Article 52(6) of this Agreement, Switzerlandshall apply legal provisions equivalent to the following:

Section 1 - Council Directive 96/26/EC of 29 April 1996 on admission to the

Section 1 - Council Directive 96/26/EC of 29 April 1996 on admission to the

occupation of road haulage operator and road passenger transportoperator and mutual recognition of diplomas, certificates and otherevidence of formal qualifications intended to facilitate for theseoperators the right to freedom of establishment in national and international transport operations (OJ L 124, 23.5.1996, p. 1), as last amended by Directive 98/76/EC (OJ L 277, 14.10.1998, p. 17).

Section 2 - Council Regulation (EEC) No 3821/85 of 20 December 1985 on

Section 2 - Council Regulation (EEC) No 3821/85 of 20 December 1985 on

recording equipment in road transport (OJ L 370, 31.12.1985, p. 8), as last amended by Council Regulation (EC) No 2135/98 (OJ L 274, 9.10.1998, p. 1).

- Council Regulation (EEC) No 3820/85 of 20 December 1985 on theharmonisation of certain social legislation relating to roadtransport (OJ L 370, 31.12.1985, p. 1), or equivalent rules laiddown in the AETR Agreement, including amendments thereto.
- Council Directive 88/599/EEC of 23 November 1988 on standardchecking procedures for the implementation of Regulation (EEC) No3820/85 on the harmonisation of certain social legislation relatingto road transport and Regulation (EEC) No 3821/85 on recording equipment in road transport (OJ L 325, 29.11.1988, p. 55), as lastamended by Regulation (EC) No 2135/98 (OJ L 274, 9.10.1998, p. 1).
- Council Directive 76/914/EEC of 16 December 1976 on the minimumlevel of training for some road transport drivers (OJ L 357, 29.12.1976, p. 36).

Section 3 - Council Directive 96/53/EC of 25 July 1996 laying down for certain

Section 3 - Council Directive 96/53/EC of 25 July 1996 laying down for certain

road vehicles circulating within the Community the maximum authorised dimensions in national and international traffic and themaximum authorised weights in international traffic (OJ L 235, 17.9.1996, p. 59).

- Council Directive 96/96/EC of 20 December 1996 on the approximation of the laws of the Member States relating toroadworthiness tests for motor vehicles and their trailers (OJ L 46, 17.2.1997, p. 1).
- Council Directive 91/542/EEC of 1 October 1991 amending Directive88/77/EEC on the approximation of the laws of the Member Statesrelating to the measures to be taken against the emission of gaseouspollutants from diesel engines for use in vehicles (OJ L 295, 25.10.1991, p. 1).
- Council Directive 92/6/EEC of 10 February 1992 on the installation and use of speed limitation devices for certain categories of motorvehicles in the Community (OJ L 57, 23.2.1992, p. 27).
- Council Directive 92/24/EEC of 31 March 1992 relating to speedlimitation devices or similar speed limitation on-board systems of certain categories of motor vehicles (OJ L 129, 14.5.1992, p. 154).
- Council Directive 92/97/EEC of 10 November 1992 amending Directive70/157/EEC on the approximation of the laws of the Member Statesrelating to the permissible sound level and the exhaust system of motor vehicles (OJ L 371, 19.12.1992, p. 1).
- Council Directive 94/55/EC of 21 November 1994 on the approximation of the laws of the Member States with regard to the transport of dangerous goods by road (OJ L 319, 12.12.1994, p. 7).
- Council Directive 95/50/EC of 6 October 1995 on uniform procedures for checks on the transport of dangerous goods by road (OJ L 249, 17.10.1995, p. 35).
- Council Directive 96/35/EC of 3 June 1996 on the appointment andvocational qualification of safety advisers for the transport ofdangerous goods by road, rail and inland waterway (0J L 145, 19.6.1996, p. 10).

- Commission Directive 96/86/EC of 13 December 1996 adapting totechnical progress Council Directive 94/55/EC on the approximation of the laws of the Member States with regard to the transport of dangerous goods by road (OJ L 335, 24.12.1996, p. 43).

Section 4 - Council Directive 95/18/EC of 19 June 1995 on the licensing of

Section 4 - Council Directive 95/18/EC of 19 June 1995 on the licensing of railway undertakings (OJ L 143, 27.6.1995, p. 70).

- Council Directive 95/19/EC of 19 June 1995 on the allocation of railway infrastructure capacity and the charging of infrastructure fees (OJ L 143, 27.6.1995, p. 75).
- Council Directive 91/440/EEC of 29 July 1991 on the development of the Community's railways (OJ L 237, 24.8.1991, p. 25).

Section 5 - Council Directive 92/82/EEC of 19 October 1992 on the

Section 5 - Council Directive 92/82/EEC of 19 October 1992 on the approximation of the rates of excise duties on mineral oils (OJ L 316, 31.10.1992, p. 19).

- Council Directive 96/49/EC of 23 July 1996 on the approximation of the laws of the Member States with regard to the transport of dangerous goods by rail (OJ L 235, 17.9.1996, p. 25).
- Commission Directive 96/87/EC of 13 December 1996 adapting totechnical progress Council Directive 96/49/EC on the approximation of the laws of the Member States with regard to the transport of dangerous goods by rail (OJ L 335, 24.12.1996, p. 45).

ANNEX 2

RULES FOR APPLYING THE CHARGES PROVIDED FOR IN ARTICLE 81. The Swiss charge for vehicles having an actual total laden weight of more than 28 t using the authorisation referred to in Article8(2) shall not exceed:

- CHF 180 for a journey transiting Switzerland,
- CHF 70 for a bilateral return journey to or from Switzerland.
- 2. The maximum Swiss charge for vehicles using the authorisation referred to in Article 8(3) and having an actual total laden weight of more than 34 t but not more than 40 t and travelling a distance of 300 km across the Alps shall be CHF 252 for a vehicle not complying with the EURO standards, CHF 211 for a vehicle complying with the EURO I standard and CHF 178 for a vehicle complying with the EURO II standard. The charge shall be applied in accordance with the provisions of Article 40.
- 3. The maximum Swiss charge for vehicles using the authorisation referred to in Article 8(4), having an actual total laden weight of more than 34 t but not more than 40 t and travelling a distance of 300 km across the Alps shall be CHF 300 for a vehicle not complying with the EURO standards, CHF 240 for a vehicle complying with the

EURO I standard and CHF 210 for a vehicle complying with the EURO II

standard. The charge shall be applied in accordance with the provisions of Article 40.

ANNEX 3

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ANNEX 4

TYPES OF CARRIAGE EXEMPT FROM ANY SYSTEM OF LICENCES AND FROM ANY

AUTHORISATION

1. Carriage of mail as a public service2. Carriage of vehicles which have suffered damage or breakdown3. Carriage of goods in motor vehicles the permissible laden weightof which, including that of trailers, does not exceed 6 tonnes orthe permissible payload of which, including that of trailers, does not exceed 3,5 tonnes4. Carriage of goods in motor vehicles provided the

following conditions are fulfilled:

- (a) the goods carried must be the property of the undertaking ormust have been sold, bought, let out on hire or hired, produced, extracted, processed or repaired by the undertaking;
- (b) the purpose of the journey must be to carry the goods to or from the undertaking or to move them, either inside the undertaking or outside for its own requirements;
- (c) motor vehicles used for such carriage must be driven byemployees of the undertaking;
- (d) the vehicles carrying the goods must be owned by the undertakingor have been bought by it on deferred terms or hired provided that in the latter case they meet the conditions of Council Directive84/647/EEC of 19 December 1984 on the use of vehicles hired withoutdrivers for the carriage of goods by road.

This provision shall not apply to the use of a replacement vehicleduring a short breakdown of the vehicle normally used;

(e) carriage must be no more than ancillary to the overallactivities of the undertaking. Carriage of medicinal products, appliances, equipment and other

articles required for medical care in emergency relief, in

articles required for medical care in emergency relief, in particular for natural disasters.

ANNEX 5

LIST OF THE PROVISIONS CONTAINED IN THE BILATERAL ROAD TRANSPORT

AGREEMENTS CONCLUDED BY SWITZERLAND WITH THE DIFFERENT MEMBER STATES

OF THE COMMUNITY RELATING TO THE CARRIAGE OF GOODS IN TRIANGULAR

TRAFFIC

>TABLE POSITION>

Triangular traffic as such: where the vehicle, following the normalroute, transits the country in which it is registered, e. g. when a

Swiss-registered vehicle carries goods from Germany to Italy via

Switzerland.

Other so-called triangular traffic: where the vehicle does not transit the country in which it is registered, e. g. when a

Swiss-registered vehicle carries goods from Germany to Italy via 全球法律法

Austria.

ANNEX 6

EXEMPTIONS FROM THE WEIGHT LIMIT AND FROM THE BAN ON NIGHT AND

SUNDAY DRIVING

I. Exemption from the weight limit during the period ending on 31

December 2004

For journeys originating abroad and ending in the Swiss frontierarea(1), (and vice versa), exceptions are permitted without chargefor any goods up to a total 40 tonnes and for 40-foot ISO containers in combined transport up to a total of 44 tonnes. For reasons of road design, certain customs posts apply lower limits.

II. Other exemptions from the weight limit

For journeys originating abroad and ending beyond the Swiss frontierarea (2), (and vice versa), and for transit through Switzerland, anactual total laden weight greater than the maximum weight permittedin Switzerland may also be authorised for types of carriage notreferred to in Article 8:

- (a) for the carriage of goods which are indivisible, in cases inwhich the stipulations cannot be obeyed despite the use of asuitable vehicle;
- (b) for transfers or for the use of special vehicles, notablyworking vehicles which, owing to the purpose for which they are used, are not compatible with the provisions regarding weight;

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- (c) for the transport in an emergency of damaged or broken-downvehicles;
- (d) for the transport of supplies for aircraft catering;
- (e) haulage by road during the initial and final stages of combinedtransport, usually within a 30 km radius of the terminal.
- III. Exemption from the ban on night and Sunday driving

The following exemptions from the ban on night and Sunday drivingare planned:

- (a) without special permission
- journeys made to provide emergency assistance in case of disasters,
- journeys made to provide emergency assistance in the event ofaccidents, notably public transport and air traffic accidents;
- (b) with special permission

For the carriage of goods which, because of their nature, justifynight-time haulage and, for genuine reasons, haulage on Sundays:

- perishable agricultural products (such as berries, fruit andvegetables, plants (including cut flowers) or freshly squeezed fruitjuices), throughout the year,
- pigs and chickens for slaughter,
- fresh milk and perishable milk products,
- circus equipment, musical instruments belonging to an orchestra, theatre props, etc.,
- daily newspapers including an editorial component, and postalconsignments transported under a legal service requirement.

To ease approval procedures, authorisations valid for up to 12months for any number of journeys may be issued, provided all the journeys are of the same nature.

(1) Switzerland's frontier area is defined in Annex 4 to the minutesof the fifth meeting of the Joint Committee set up under the 1992

Agreement, held in Brussels on 2 April 1998. It is generally an areawithin a 10-kilometre radius of a customs post.

(2) Switzerland's frontier area is defined in Annex 4 to the minutesof the fifth meeting of the Joint Committee set up under the 1992

Agreement, held in Brussels on 2 April 1998. It is generally an areawithin a 10-kilometre radius of a customs post.

ANNEX 7

INTERNATIONAL CARRIAGE OF PASSENGERS BY COACH AND BUS

Article 1

Definitions

For the purposes of this Agreement, the following definitions shallapply:

1. Regular services 1.1. Regular services are services which provide for the carriage of passengers at specified intervals along specified routes, passengers being taken up and set down at predetermined stopping points.

Regular services shall be open to all, subject, where appropriate, to compulsory reservation.

An adaptation of the conditions of the carriage of such a servicedoes not affect its character as a regular service.

1.2. Services, by whomsoever organised, which provide for thecarriage of specified categories of passengers to the exclusion ofother passengers, in so far as such services are operated under theconditions specified in point 1.1, shall be deemed to be regularservices. Such services are hereinafter called "special regularservices".

Special regular services shall include:

- (a) the carriage of workers between home and work;
- (b) carriage to and from the educational institution for schoolpupils and students;
- (c) the carriage of soldiers and their families between their Stateof origin and the area of their barracks.

The fact that a special service may be varied according to the needsof users shall not affect its classification as a regular service.

- 1. 3. The organisation of parallel or temporary services, serving thesame public as existing regular services, the non-serving of certainstops and the serving of additional stops on existing regularservices shall be governed by the same rules as existing regularservices.
- 2. Occasional services 2.1. Occasional services are services which do not meet the definition of regular services, including special regular services, and which are characterised above all by the fact that they carrygroups of passengers assembled at the initiative of the customer or of the carrier himself.

The organisation of parallel or temporary services comparable toexisting regular services and serving the same public as the lattershall be subject to authorisation in accordance with the procedurelaid down in section I.

- 2.2. The services referred to in point 2 shall not cease to beoccasional services solely because they are provided at certainintervals.
- 2.3. Occasional services may be provided by a group of carriersacting on behalf of the same contractor.

The names of such carriers and, where appropriate, the connection points en route shall be communicated to the competent authorities of the European Community Member States concerned and of

Switzerland, in accordance with the procedures to be determined by the Joint Committee.

3. Own-account transport operations

Own-account transport operations are those carried out fornon-profit-making and non-commercial purposes by a natural or legalperson, provided that:

- the transport activity is only an ancillary activity for that natural or legal person,
- the vehicles used are the property of that natural or legalperson, or have been obtained on deferred terms by them or have been the subject of a long-term leasing contract and are driven by that natural or legal person or by a member of their staff.

Section I REGULAR SERVICES SUBJECT TO AUTHORISATION

Section I REGULAR SERVICES SUBJECT TO AUTHORISATION Article 2

Nature of the authorisation1. Authorisations shall be issued in the name of the transportundertaking; they may not be transferred by the latter to thirdparties. However, the carrier who has received the authorisationmay, with the consent of the authority referred to in Article 3(1)

of this Annex, operate the service through a subcontractor. In this case, the name of the latter undertaking and its role assubcontractor shall be indicated in the authorisation. The subcontractor must fulfil the conditions laid down in Article 17 of the Agreement.

In the case of undertakings associated for the purpose of operatinga regular service, the authorisation shall be issued in the names of all the undertakings. It shall be given to the undertaking that manages the operation and copies shall be given to the others. The authorisation shall state the names of all the operators.

- 2. The period of validity of an authorisation shall not exceed fiveyears.
- 3. Authorisations shall specify the following:
- (a) the type of service;
- (b) the route of the service, in particular the place of departureand the place of destination;
- (c) the period of validity of the authorisation;
- (d) the stops and the timetable.
- 4. Authorisations shall conform to the model set out in Regulation
- (EC) No 2121/98(1).
- 5. Authorisations shall entitle their holder(s) to operate regularservices in the territories of the Contracting Parties.

6. The operator of a regular service may use additional vehicles todeal with temporary and exceptional situations.

In this event, the carrier must ensure that the following documents are on board the vehicle:

- a copy of the regular service authorisation,
- a copy of the contract between the operator of the regular service and the firm supplying the additional vehicles, or an equivalent document,
- a certified copy of the Community licence in the case of Community carriers, or of a similar Swiss licence in the case of Swisscarriers, issued to the operator of the regular service.

Article 3

Submission of applications for authorisation1. Community operators applying for authorisation shall submit their applications in accordance with the provisions of Article 6 of

Regulation (EEC) No 684/92, as amended by Regulation (EC) No 11/98, and Swiss operators applying for authorisation shall submit their applications in accordance with chapter 5 of the order of 25

November 1998 on concessions for the carriage of passengers

(OCTV) (2). In the case of services exempt from authorisation in

Switzerland but subject to authorisation in the Community, Swissoperators applying for authorisation shall submit their applications to the competent Swiss authorities if the place of departure of suchservices is in Switzerland.

- 2. Applications shall conform to the model set out in Regulation
- (EC) No 2121/98.
- 3. Persons applying for authorisation shall provide any additional information they consider relevant or which is requested by theis suing authority. In particular, applicants should provide adriving schedule which makes it possible to check whether theservice complies with legislation on driving and rest periods.

Community carriers should also submit a copy of the Communitylicence for the international carriage of passengers by road forhire or reward, and Swiss carriers a copy of a similar Swisslicence, issued to the operator of the regular service.

Article 4

Authorising procedurel. Authorisations shall be issued in agreement with the competentauthorities of the Contracting Parties on whose territoriespassengers are picked up or set down. The issuing authority shallsend its assessment to such authorities — as well as to the competent authorities of Member States of the Community whose territories are crossed without passengers being picked up or setdown — together with a copy of the application and copies of anyother relevant documentation.

2. The competent authorities of Switzerland and of the European

Community Member States whose agreement has been requested shallnotify the issuing authority of their decision within two months.

This time limit shall be calculated from the date of receipt of therequest for an opinion which is shown in the acknowledgement of receipt. If, within this period, the issuing authority has received no reply, the authorities consulted shall be deemed to have given their agreement, and the issuing authority shall issue theauthorisation.

- 3. Subject to paragraphs 7 and 8, the issuing authority shall take adecision within four months of the date on which the carrier submits the application.
- 4. Authorisation shall be granted unless:
- (a) the applicant is unable to provide the service which is the subject of the application with equipment directly available to him;
- (b) in the past, the applicant has failed to comply with national orinternational legislation on road transport, and in particular the conditions and requirements relating to authorisations for international road passenger services, or has committed serious breaches of legislation in regard to road safety, in particular therules applicable to vehicles and driving and rest periods for drivers;
- (c) in the case of an application for renewal of an authorisation, the authorisation conditions have not been met;

- (d) it is shown that the service being applied for would directly compromise the existence of regular services already authorised, except in cases where the regular services in question are provided by a single carrier or group of carriers only;
- (e) it appears that the applicant intends to operate only the mostprofitable of the existing services on the routes concerned;
- (f) the competent authority of a Contracting Party decides, on thebasis of a detailed analysis, that the said service would seriously affect the viability of a comparable rail service on the direct sections concerned. All decisions taken under this provision, and the reasons for those decisions, shall be notified to the carriers concerned.

From 1 January 2000, where an existing international coach or busservice is seriously affecting the viability of a comparable railservice on the direct sections concerned, the competent authority of a Contracting Party may, with the agreement of the Joint Committee, suspend or withdraw the authorisation to operate the international coach or bus service after giving the carrier six months' notice.

The fact that a carrier offers lower prices than are offered byother road carriers, or that the route in question is already beingoperated by other road carriers, may not in itself constitute justification for refusing the application.

- 5. The issuing authority may refuse applications only for reasons compatible with this Agreement.
- 6. If the procedure for reaching the agreement referred to inparagraph 1 does not result in an agreement being reached, thematter may be referred to the Joint Committee.
- 7. The Joint Committee shall, as swiftly as possible, take adecision which shall take effect within 30 days of its beingnotified to Switzerland and the European Community Member Statesconcerned.
- 8. Once the procedure laid down in this Article has been completed, the issuing authority shall inform all the authorities referred to n paragraph 1 and shall, where appropriate, send them a copy of theauthorisation.

Article 5

Issuing and renewing authorisations1. Once the procedure laid down in Article

- 4 has been completed, theissuing authority shall either grant the authorisation or shallformally refuse the application.
- 2. A decision refusing an application must state the reasons forthat refusal. The Contracting Parties shall ensure that carriershave the opportunity to invoke their rights if their application is refused.
- 3. Article 4 of this Annex shall apply, mutatis mutandis, toapplications for the renewal of authorisations or for alteration of the conditions under which the services subject to authorisationmust be operated.

In the event of a minor alteration to the operating conditions, inparticular the adjustment of fares or timetables, the issuingauthority need only supply the information in question to the competent authorities of the other Contracting Party.

Article 6

Lapse of an authorisation

The procedure to be followed in respect of the lapse of anauthorisation shall be as laid down in Article 9 of Regulation (EEC)

No 684/92 and in Article 44 of the OCTV.

Article 7

Obligations of carriers. Save in the event of force majeure, the operator of a regular service shall, until the authorisation expires, take all measures toguarantee a transport service that meets the required standards of continuity, regularity and capacity and complies with the other conditions laid down by the competent authority in accordance with

Article 2 (3) of this Annex.

Article 2 (3) of this Annex.

2. The carrier shall publish the route of the service, the stops, the timetable, the fares and the conditions of carriage — in so faras these are not laid down by law — in such a way as to ensure that this information is readily available to all users.

3. It shall be possible for Switzerland and the European Community

Member States concerned, by common agreement and in agreement withthe holder of the authorisation, to make changes to the operating conditions governing a regular service.

Section II OCCASIONAL SERVICES AND OTHER SERVICES EXEMPT FROM AUTHORISATION

Section II OCCASIONAL SERVICES AND OTHER SERVICES EXEMPT FROM AUTHORISATION Article 8

Control document1. The services referred to in Article 18(1) of the Agreement shallbe carried out under cover of a control document (journey form).

- 2. A carrier operating occasional services must fill out a journeyform before each journey.
- 3. The books of journey forms shall be supplied by the competentauthorities of Switzerland or the European Community Member Statewhere the carrier is established or by bodies appointed by thoseauthorities.
- 4. The model for the control document and the way in which it is tobe used shall be as laid down in Regulation No 2121/98.

Article 9

Certificate

The certificate referred to in Article 18(6) of the Agreement shallbe issued by the competent authority of Switzerland or the European

Community Member State where the vehicle is registered.

It shall conform to the model set out in Regulation (EC) No 2121/98.

Section III CONTROLS AND PENALTIES

Section III CONTROLS AND PENALTIES
Article 10

Transport tickets1. Passengers using a regular service, excluding special regularservices, shall throughout their journey possess transport tickets, either individual or collective, which indicate:

- the places of departure and destination and, where appropriate, the return journey,
- the period of validity of the ticket,
- the fare.
- 2. The transport ticket provided for in paragraph 1 shall be presented at the request of any authorised inspecting officer.

Article 11

Inspections on the road and in undertakings1. In the case of carriage for hire or reward, the followingdocuments must be carried on board the vehicle and must be presented the request of any authorised inspecting officer: a certified true copy of the Community licence for Community carriers or of the similar Swiss licence for Swiss carriers and, depending on the typeof service, either the authorisation (or a certified copy thereof)

or the journey form.

In the case of own-account transport operations, the certificate (ora certified copy thereof) must be carried on board the vehicle andmust be presented at the request of any authorised inspecting officer.

In the case of the services covered by Article 18(2) of the

Agreement, the contract or a certified true copy of it shall serve as a control document.

2. Carriers operating coaches and buses in international passengertransport shall allow all inspections intended to ensure that operations are being conducted correctly, in particular as regardsdriving and rest periods.

Article 12

Mutual assistancel. The competent authorities of the Contracting Parties shall, onrequest, provide each other with any relevant information in theirpossession concerning:

- breaches of this Agreement, and of other rules governing theinternational carriage of passengers by coach or bus, committed intheir territory by a carrier from another Contracting Party, and thepenalties imposed,
- the penalties imposed on their own carriers for breaches committed on the other Contracting Party's territory.
- 2. The competent authorities of the Contracting Party in which thecarrier is established shall withdraw the Community licence or the similar Swiss licence if the holder:
- no longer meets the conditions laid down in Article 17(1) of the

Agreement,

- has provided inaccurate information on the data needed for issuingthe Community licence or similar Swiss licence.
- 3. The issuing authority shall withdraw an authorisation if theholder no longer meets the conditions for issuing that authorisationunder this Agreement, in particular if the competent authorities of the Contracting Party in which the carrier is established requestsuch withdrawal. The issuing authority shall immediately inform the competent authorities of the other Contracting Party.
- 4. If a carrier commits a serious breach or repeatedly commits minorbreaches of transport regulations and road safety rules, inparticular the rules applicable to vehicles, driving and restperiods for drivers and the unauthorised operation of the parallelor temporary services referred to in Article 1(2.1), the competentauthorities of the Contracting Party in which that carrier isestablished may, in particular, withdraw his Community licence or similar Swiss licence, or may temporarily and/or partially withdrawthe certified copies of his Community licence or similar Swisslicence.

These penalties shall be determined according to the seriousness of the offence committed by the holder of the Community licence or similar Swiss licence, and according to the total number of certified copies he possesses in connection

with his international transport operations.

(1) Commission Regulation (EC) No 2121/98 of 2 October 1998 layingdown detailed rules for the application of Council Regulations (EEC)

No 684/92 and (EC) No 12/98 as regards documents for the carriage of passengers by coach and bus (0J L 268, 3.10.1998, p. 10).

(2) RS/SR 744.11.

LIST OF THE PROVISIONS CONTAINED IN THE BILATERAL ROAD TRANSPORT

AGREEMENTS CONCLUDED BY SWITZERLAND WITH THE DIFFERENT MEMBER STATES

OF THE COMMUNITY RELATING TO THE GRANTING OF AUTHORISATIONS FOR THE

CARRIAGE OF PASSENGERS IN TRIANGULAR TRAFFIC

>TABLE POSITION>

ANNEX 9

THE QUALITY OF RAIL AND COMBINED TRANSPORT SERVICES

Should Switzerland wish to take the safeguard measures referred to in Article 46 of the Agreement, the following conditions shallapply.

1. The average price of rail or combined transport through

Switzerland must not be greater than the cost for a vehicle of 40 tmaximum authorised weight travelling a distance of 300 km across the

Alps. In particular, the average price charged for accompanied combined transport ("truck on train") must not exceed the costs of road transport (road charges and variable costs).

- 2. Switzerland has taken steps to increase the competitiveness of combined transport and the carriage of goods by rail through its territory.
- 3. The parameters used in evaluating the competitiveness of combinedtransport and the carriage of goods by rail shall include at leastthe following:
- the extent to which timetables and speed meet the needs of theusers,

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- the degree to which the providers of the service guarantee and accept responsibility for its quality.
- the extent to which the Swiss operators meet their quality-of-service commitments or, if they fail to do so, the extentto which customers are compensated,
- booking conditions.

ANNEX 10 RULES FOR APPLYING THE CHARGES PROVIDED FOR IN ARTICLE 40

Subject to the provisions of Article 40(3. (b)) and (5), the chargesprovided for in Article 40 shall be applied as follows:

- (a) in the case of transport operations in Switzerland, the chargesshall be increased or decreased in proportion to the extent to whichthe actual distance travelled in Switzerland is greater than or lessthan 300 km;
- (b) the charges shall be proportional to the vehicle's weightcategory.

Final Act

The Plenipotentiaries of the EUROPEAN COMMUNITY

and of the SWISS CONFEDERATION, meeting on the twenty-first day of June in the year one thousandnine hundred and ninety-nine in Luxembourg for the signature of the

Agreement between the European Community and the Swiss Confederationon the carriage of goods and passengers by rail and road haveadopted the Joint Declarations mentioned below and attached to this

Final Act:

- 成法律法 - Joint Declaration on Article 38(6),
- Joint Declaration on further negotiations.

They also took note of the following Declarations annexed to this

Final Act:

- Declaration on Swiss attendance of committees,
- Declaration by Switzerland on the use of tariff quotas (40 t),
- Declaration by the European Community on the use of tariff quotas (40 t),
- Declaration by Switzerland on Article 40(4),
- Declaration by Switzerland on the facilitation of customsprocedures (Article 43(1)).

Hecho en Luxemburgo, el ventiuno de junio de mil novecientos noventay nueve.

Udfrdiget i Luxembourg den enogtyvende juni nitten hundrede ognioghalvfems.

Geschehen zu Luxemburg am einundzwanzigsten Junineunzehnhundertneunundneunzig.

 $>ISO_{-}7>$ ó üó, ü ū

>ISO_1>Done at Luxembourg on the twenty-first day of June in theyear one thousand nine hundred and ninety-nine.

Fait Luxembourg, le vingt-et-un juin mil neuf cent quatre-vingtdix-neuf.

Fatto a Lussemburgo, add ü ventuno giugno millenovecentonovantanove.

Gedaan te Luxemburg, de eenentwintigste juni negentienhonderdnegenennegentig.

Feito em Luxemburgo, em vinte e um de Junho de mil novecentos enoventa e nove.

Tehty Luxemburgissa kahdentenakymmenenten ö ensimm ö isen ö pö iv ö n ö

kes ō kuuta vuonna tuhatyhdeks ō nsataayhdeks ō nkymment ō yhdeks ō n.

Som skedde i Luxemburg den tjugofrsta juni nittonhundranittionio.

Por la Comunidad Europea/For Det Europiske Fllesskab/Fr die

Europōische Gemeinschaft/ $>ISO_-7>$ ÷

÷ />ISO_1>For the European Community/Pour 1a Communaut

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Pour la Confdration suisse

Per la Confederazione svizzera

Voor de Zwitserse Bondsstaat

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JOINT DECLARATION

on Article 38(6)

The Contracting Parties declare that the provisions of Article 38(6)

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shall be without prejudice to the application, under the Swiss

Federal system, of instruments falling within the Federal

financial equalisation system.

JOINT DECLARATION

on further negotiations

The European Community and the Swiss Confederation declare theirintention of undertaking negotiations to conclude agreements inareas of common interest such as the updating of Protocol 2 to the 1972 Free Trade Agreement and Swiss participation in certain

Community training, youth, media, statistical and environmentalprogrammes. Preparatory work for these negotiations should proceedrapidly once the current bilateral negotiations have been concluded.

DECLARATION

on Swiss attendance of committees

The Council agrees that Switzerland's representatives may, in so faras the items concern them, attend meetings of the following committees and expert working parties as observers:

- Committees of research programmes, including the Scientific and

Technical Research Committee (CREST),

- Administrative Commission on Social Security for Migrant Workers,
- Coordinating Group on the mutual recognition of higher-education diplomas,
- Advisory Committees on air routes and the application of competition rules in the field of air transport.

Switzerland's representatives shall not be present when thesecommittees vote.

In the case of other committees dealing with areas covered by these agreements in which Switzerland has adopted either the acquiscommunautaire or equivalent measures, the Commission will consult

Swiss experts by the method specified in Article 100 of the EEA

Agreement.

DECLARATION BY SWITZERLAND

on the use of tariff quotas (40 t)

Switzerland declares that not more than 50 % of the quotas provided for in Article 8 of the Agreement for Swiss vehicles of which the actual total laden weight does not exceed 40 t will be used for import, export and transit carriage.

DECLARATION BY THE EUROPEAN COMMUNITY

on the use of tariff quotas (40 t)

The Community declares that, according to its current estimates, itexpects that approximately 50 % of its quotas under Article 8 willbe used for bilateral transport operations.

DECLARATION BY SWITZERLAND

on Article 40(4)

Switzerland declares, in relation to the application of the chargesreferred to in Article 40(4) of the Agreement, that it will fix theactual charges applicable until the opening of the first basetunnel, or 1 January 2008, whichever is the earlier, at a levelbelow the maximum amount permitted by that provision. Switzerlandintends, on the basis of this current planning, to fix the level in2005, 2006 and 2007 at CHF 292,50 on average and CHF 350 as amaximum.

DECLARATION BY SWITZERLAND

on the facilitation of customs procedures (Article 43(1))

So as to facilitate customs clearance at road border crossingsbetween the European Union and Switzerland, Switzerland agreed to the following measures, which will be agreed on a priority basis, during the course of 1999, in the Joint Committee established underthe 1992 Agreement:

- to ensure, in cooperation with the customs offices of itsneighbours, that the business hours of the offices at the majorborder crossings are long enough so as to permit HGVs to begin theirjourney through Switzerland as soon as the night time ban ends or tocontinue their journey until the ban starts. If necessary, an extracharge reflecting the additional costs can be levied for thispurpose. This charge, however, should not exceed CHF 8;

- to achieve, by 1 January 2000, and to maintain thereafter, incooperation with the customs authorities of its neighbouring countries, a customs clearance time for HGVs of 30 minutes for eachborder crossing between Switzerland and the European Union (measured from entry to the first customs office to clearance of the second).

Information relating to the entry into force of the seven Agreementswith the Swiss Confederation in the sectors free movement of persons, air and land transport, public procurement, scientific and technological cooperation, mutual recognition in relation to conformity assessment, and trade in agricultural products

The final notification of completion of the procedures necessary forthe entry into force of the seven Agreements in the sectors freemovement of persons, air and land transport, public procurement, scientific and technological cooperation, mutual recognition inrelation to conformity assessment, and trade in agricultural products between the European Community and its Member States, onthe one hand, and the Swiss Confederation on the other hand, signedin Luxembourg on 21 June 1999, having taken place on 17 April 2002, these agreements will enter into force, simultaneously, on 1 June 2002.



